



## **Cervical Radiculopathy**

*Clinical Guidelines for Medical Necessity Review*

**Version:** V4.0  
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# Important Notices

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## Guideline Information:

**Specialty Area:** Diseases & Disorders of the Musculoskeletal System (M00-M99)

**Care Path Group:** Spine

**Care Path Name:** Cervical Radiculopathy (M50, M54)

**Type:**  Adult (18+ yo) |  Pediatric (0-17yo)

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**Literature review current through:** December 29, 2022

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# Care Path Overview

## Care Path Clinical Discussion

**Cervical radiculopathy** is defined by the North American Spine Society as “pain in a radicular pattern in one or both upper extremities related to compression or irritation of one or more cervical nerve roots.”<sup>1</sup> Associated signs and symptoms include “varying degrees of sensory, motor and reflex changes as well as dysesthesias and paresthesias related to nerve root(s) without evidence of spinal cord dysfunction (myelopathy).”<sup>1</sup> Compression may be due to a herniated disc or, more commonly, cervical spondylosis. The natural history of this condition is favorable; most patients experience improvement in symptoms without intervention.<sup>1,2</sup> However, in the patients who do not experience self-resolution of symptoms or who have a complex spinal health history, further evaluation and intervention may be warranted.

Advanced imaging may be appropriate in patients with a complicated initial presentation, previous spinal surgery, or protracted or worsening symptoms.<sup>3</sup> Physical therapy can help improve pain and function and may be utilized as a conservative treatment.<sup>4,5</sup> There is a lack of high-quality studies (large numbers of patients, sufficient randomization, adequate follow-up, etc.) that fully evaluate the effectiveness of epidural steroid injections, but clinicians should consider using injections to improve radicular symptoms.<sup>1,6</sup> Surgical treatment options include anterior cervical discectomy with or without fusion (ACDF), posterior decompression with or without fusion, and cervical disc replacement. Radiculopathy can result from compression at multiple levels; therefore, the surgery may involve one or more levels.<sup>1</sup>

*The information contained herein gives a general overview of the pathway of this specific diagnosis, beginning with initial presentation, recommended assessments, and treatment options as supported by the medical literature and existing guidelines. It should be noted that the care of patients with spinal health problems is complex. The information below is meant to support clinical decision making in adult patients. It is not necessarily applicable to every case, as the entire clinical picture (including comorbidities, spinal health history, etc.) should be considered.<sup>1</sup>*

## **Key Information**

- Patients are likely to present with cervical radiculopathy at a primary care provider's office.
  - The average general practitioner evaluates 7 people per week with neck or upper extremity pain.<sup>7</sup>
  - The general practitioner must determine if the pain requires evaluation by a spine subspecialist.<sup>8</sup>
- Cervical radiculopathy is rare.
  - 85 cases per 100,000 persons.
  - Men are slightly more affected than women.
  - Other risk factors include white race, cigarette smoking, and prior lumbar radiculopathy.<sup>9,10</sup>
- Most patients with cervical radiculopathy improve over time with focused, nonoperative therapy such as physical therapy.<sup>8,10</sup>
- Patients who have persistent symptoms or a progressive neurologic deficit may be candidates for surgical intervention.<sup>10</sup>

## **Definitions**

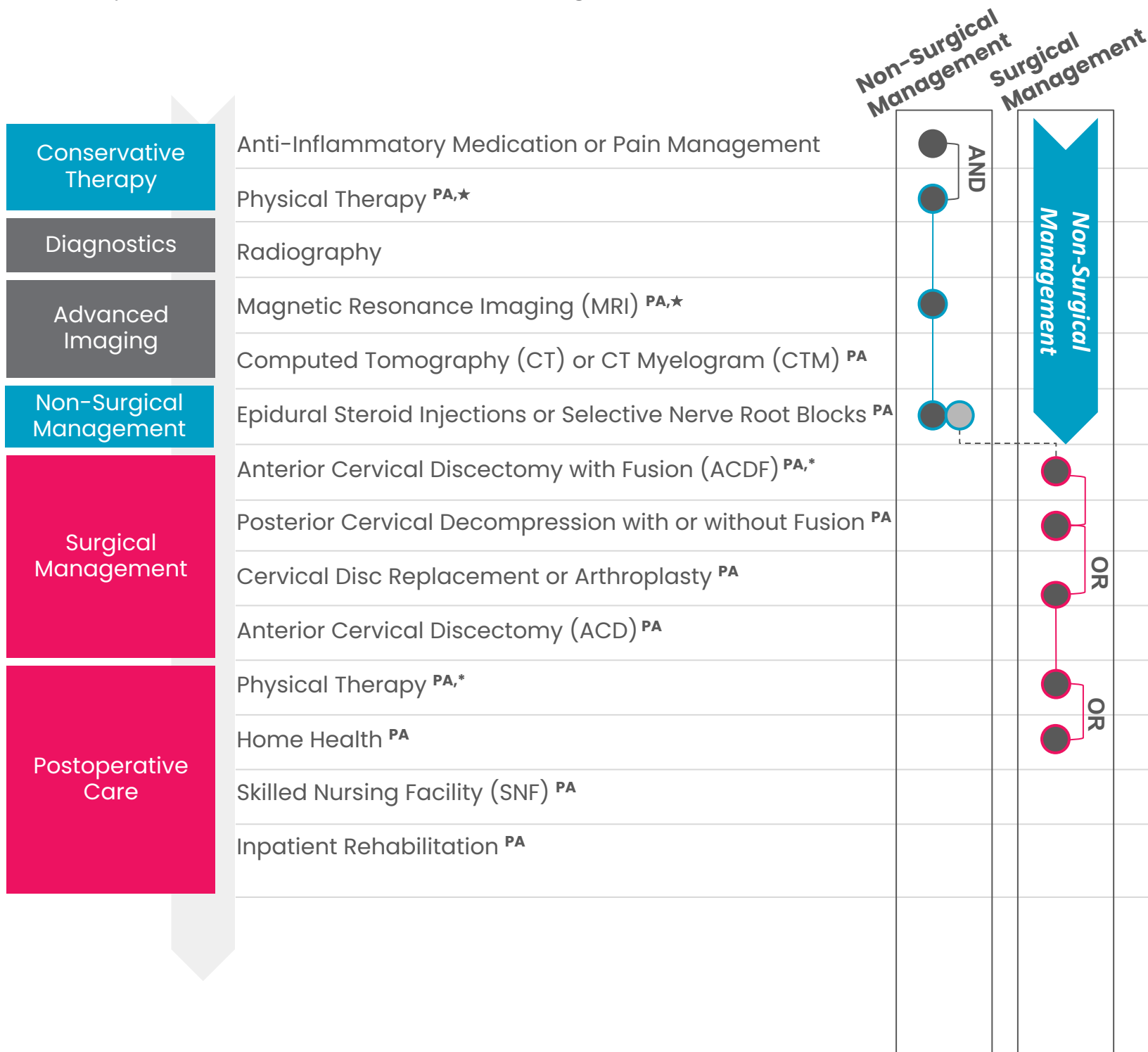
- **Cervical Radiculopathy:** "Pain in a radicular pattern in one or both upper extremities related to compression and/or irritation of one or more cervical nerve roots. Frequent signs and symptoms include varying degrees of sensory, motor, and reflex changes as well as dysesthesias and paresthesias related to nerve root(s) without evidence of spinal cord dysfunction (myelopathy)."<sup>1</sup>
- **Shoulder Abduction test:** An exam used to test the cervical spine. The seated patient places their hand on top of their head and reports their level of pain. Shoulder pain relieved by abduction is a sign of cervical radicular compression.<sup>11</sup>
- **Spurling's Test:** A maneuver used to assess radicular pain with neck extension, lateral flexion, and downward compression/loading. The test is positive when the patient feels pain radiate into their arm when the examiner turns the patient's head to the symptomatic side while extending and applying downward pressure to the top of the head.<sup>12</sup>



# Cervical Radiculopathy

## What is a "Cohere Care Path"?

These Care Paths organize the services typically considered most clinically optimal and likely to be automatically approved. These service recommendations also include the suggested sequencing and quantity or frequency determined clinically appropriate and medically necessary for the management of most patient care scenarios in this Care Path's diagnostic cohort.



# Care Path Diagnostic Criteria

## Disease Classification

Cervical Radiculopathy

### ICD-10 Codes Associated with Classification

ICD-10 Code	Code Description/Definition
G95.20	Unspecified cord compression
G95.9	Disease of spinal cord, unspecified
M45.9	Ankylosing spondylitis of unspecified sites in spine
M46.00	Spinal enthesopathy, site unspecified
M46.01	Spinal enthesopathy, occipito-atlanto-axial region
M46.02	Spinal enthesopathy, cervical region
M46.03	Spinal enthesopathy, cervicothoracic region
M46.09	Spinal enthesopathy, multiple sites in spine
M46.20	Osteomyelitis of vertebra, site unspecified
M46.21	Osteomyelitis of vertebra, occipito-atlanto-axial region
M46.22	Osteomyelitis of vertebra, cervical region
M46.23	Osteomyelitis of vertebra, cervicothoracic region
M46.30	Infection of intervertebral disc (pyogenic), site unspecified
M46.31	Infection of intervertebral disc (pyogenic), occipito-atlanto-axial region
M46.32	Infection of intervertebral disc (pyogenic), cervical region
M46.33	Infection of intervertebral disc (pyogenic), cervicothoracic region
M46.39	Infection of intervertebral disc (pyogenic), multiple sites in spine
M46.40	Discitis, unspecified, site unspecified
M46.41	Discitis, unspecified, occipito-atlanto-axial region
M46.42	Discitis, unspecified, cervical region
M46.43	Discitis, unspecified, cervicothoracic region
M46.49	Discitis, unspecified, multiple sites in spine

M47.21	Other spondylosis with radiculopathy, occipito-atlanto-axial region
M47.22	Other spondylosis with radiculopathy, cervical region
M47.23	Other spondylosis with radiculopathy, cervicothoracic region
M47.811	Spondylosis without myelopathy or radiculopathy, occipito-atlanto-axial region
M47.812	Spondylosis without myelopathy or radiculopathy, cervical region
M47.813	Spondylosis without myelopathy or radiculopathy, cervicothoracic region
M47.819	Spondylosis without myelopathy or radiculopathy, site unspecified
M48.01	Spinal stenosis, occipito-atlanto-axial region
M48.02	Spinal stenosis, cervical region
M48.03	Spinal stenosis, cervicothoracic region
M48.11	Ankylosing hyperostosis [Forestier], occipito-atlanto-axial region
M48.12	Ankylosing hyperostosis [Forestier], cervical region
M48.13	Ankylosing hyperostosis [Forestier], cervicothoracic region
M48.21	Kissing spine, occipito-atlanto-axial region
M48.22	Kissing spine, cervical region
M48.23	Kissing spine, cervicothoracic region
M48.31	Traumatic spondylopathy, occipito-atlanto-axial region
M48.32	Traumatic spondylopathy, cervical region
M48.33	Traumatic spondylopathy, cervicothoracic region
M50.1	Cervical disc disorder with radiculopathy
M50.10	Cervical disc disorder with radiculopathy, unspecified cervical region
M50.11	Cervical disc disorder with radiculopathy, high cervical region
M50.12	Cervical disc disorder with radiculopathy, mid-cervical region
M50.120	Mid-cervical disc disorder, unspecified
M50.121	Cervical disc disorder at C4-C5 level with radiculopathy

M50.122	Cervical disc disorder at C5-C6 level with radiculopathy
M50.123	Cervical disc disorder at C6-C7 level with radiculopathy
M50.13	Cervical disc disorder with radiculopathy, cervicothoracic region
M50.20	Other cervical disc displacement, unspecified cervical region
M50.21	Other cervical disc displacement, high cervical region
M50.220	Other cervical disc displacement, mid-cervical region, unspecified level
M50.221	Other cervical disc displacement at C4-C5 level
M50.222	Other cervical disc displacement at C5-C6 level
M50.223	Other cervical disc displacement at C6-C7 level
M50.23	Other cervical disc displacement, cervicothoracic region
M50.30	Other cervical disc degeneration, unspecified cervical region
M50.31	Other cervical disc degeneration, high cervical region
M50.320	Other cervical disc degeneration, mid-cervical region, unspecified level
M50.321	Other cervical disc degeneration at C4-C5 level
M50.322	Other cervical disc degeneration at C5-C6 level
M50.323	Other cervical disc degeneration at C6-C7 level
M50.33	Other cervical disc degeneration, cervicothoracic region
M50.80	Other cervical disc disorders, unspecified cervical region
M50.81	Other cervical disc disorders, high cervical region
M50.820	Other cervical disc disorders, mid-cervical region, unspecified level
M50.821	Other cervical disc disorders at C4-C5 level
M50.822	Other cervical disc disorders at C5-C6 level
M50.823	Other cervical disc disorders at C6-C7 level
M50.83	Other cervical disc disorders, cervicothoracic region
M50.90	Cervical disc disorder, unspecified, unspecified cervical region
M50.91	Cervical disc disorder, unspecified, high cervical region
M50.920	Unspecified cervical disc disorder, mid-cervical region, unspecified level
M50.921	Unspecified cervical disc disorder at C4-C5 level

M50.922	Unspecified cervical disc disorder at C5-C6 level
M50.923	Unspecified cervical disc disorder at C6-C7 level
M50.93	Cervical disc disorder, unspecified, cervicothoracic region
M53.2X1	Spinal instabilities, occipito-atlanto-axial region
M53.2X2	Spinal instabilities, cervical region
M53.2X3	Spinal instabilities, cervicothoracic region
M54.10	Radiculopathy, site unspecified
M54.11	Radiculopathy, occipito-atlanto-axial region
M54.12	Radiculopathy, cervical region
M54.13	Radiculopathy, cervicothoracic region
M54.2	Cervicalgia
M99.10	Subluxation complex (vertebral) of head region
M99.11	Subluxation complex (vertebral) of cervical region
M99.20	Subluxation stenosis of neural canal of head region
M99.21	Subluxation stenosis of neural canal of cervical region
M99.30	Osseous stenosis of neural canal of head region
M99.31	Osseous stenosis of neural canal of cervical region
M99.40	Connective tissue stenosis of neural canal of head region
M99.41	Connective tissue stenosis of neural canal of cervical region
M99.50	Intervertebral disc stenosis of neural canal of head region
M99.51	Intervertebral disc stenosis of neural canal of cervical region
M99.60	Osseous and subluxation stenosis of intervertebral foramina of head region
M99.61	Osseous and subluxation stenosis of intervertebral foramina of cervical region
M99.70	Connective tissue and disc stenosis of intervertebral foramina of head region
M99.71	Connective tissue and disc stenosis of intervertebral foramina of cervical region

## **Presentation and Etiology**

### ***Causes and Risk Factors***

The most common risk factors are white race, cigarette smoking, and prior lumbar radiculopathy.<sup>9,10</sup>

### ***Clinical Presentation***

Symptoms are frequently unilateral and include:

- Neck pain
- Arm pain
- Scapular pain
- Periscapular pain
- Anterior chest pain
- Weakness, numbness, or paresthesia in the upper extremity
- Headache

### ***Typical Physical Exam Findings***

The following findings may be found singularly or in combination:

- Upper extremity motor strength deficit
- Upper extremity sensory deficit
- Absent or decreased deep tendon reflexes
- Scapular winging
- Positive specialty tests:
  - Spurling's test or maneuver or compression test
    - Reproduction of symptoms with neck extension, lateral flexion, and downward compression or loading
  - Shoulder abduction test
    - Symptoms relieve with shoulder abduction.

### ***Typical Diagnostic Findings<sup>10</sup>***

- Advanced imaging confirms pathology

# Care Path Services & Medical Necessity Criteria

## Conservative Therapy

**Service: Physical Therapy**

### General Guidelines

- **Units, Frequency, & Duration:** Program duration and frequency vary in the literature; there are no specific recommendations available.
- **Criteria for Subsequent Requests:** The medical necessity of subsequent physical therapy should be evaluated based on the individual's response to previous sessions (e.g., clinically relevant sustained reductions in pain, improvement in the individual's functional abilities).
- **Recommended Clinical Approach:** Exercise training programs that include strengthening and stretching can reduce pain and disability in patients with cervical radiculopathy.
- **Exclusions:** None.

### Medical Necessity Criteria

#### Indications

→ **Physical therapy** is considered appropriate if **ANY** of the following is **TRUE**<sup>13</sup>:

- ◆ The patient has one or more positive findings from each of these lists:
  - Clinical [presentation](#)
  - Typical physical [exam findings](#)
  - [Diagnostic findings](#)
- ◆ It has been more than 3 months since the last date of previous physical therapy and the patient's symptoms are different from the prior presentation.

#### Non-Indications

→ **Physical therapy** is not considered appropriate if **ANY** of the following is **TRUE**:

- ◆ Progressive neurological deficits
- ◆ Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
- ◆ Hyperreflexia

- ◆ Hoffman's sign
- ◆ Positive Babinski or clonus
- ◆ Bowel or bladder incontinence
- ◆ Saddle anesthesia

### **Site of Service Criteria**

Outpatient

### **Procedure Codes (HCPCS/CPT)**

<b>HCPCS Code</b>	<b>Code Description/Definition</b>
97010	Application of hot or cold packs
97012	Application of mechanical traction
97014	Application of electrical stimulation
97016	Application of vasopneumatic devices
97018	Application of paraffin bath
97022	Application of whirlpool
97024	Application of diathermy
97026	Application of infrared modality
97028	Application of ultraviolet modality
97032	Application of manual electrical stimulation
97033	Application of iontophoresis
97034	Application of contrast baths
97035	Application of ultrasound modality
97036	Application of Hubbard tank
97039	Modality service
97110*	Therapeutic exercises to develop strength and endurance, range of motion and flexibility
97112	Neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and proprioception for sitting and standing activities



97113	Aquatic therapy with therapeutic exercises
97116	Gait training including stair climbing
97124	Massage including effleurage and petrissage; Massage including effleurage and tapotement; Massage including effleurage, petrissage and tapotement; Massage including petrissage and tapotement
97139	Therapeutic procedure
97140	Manual therapy techniques
97150	Group therapeutic procedures
97164	Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient 20 minutes; Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient and family 20 minutes; Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient's family 20 minutes
97530	Direct therapeutic activities with use of dynamic activities to improve functional performance, each 15 minutes
97535	Home management training, direct one-on-one contact, each 15 minutes; Self-care management training, direct one-on-one contact, each 15 minutes
97537	Community reintegration training, direct one-on-one contact, each 15 minutes; Work reintegration training, direct one-on-one contact, each 15 minutes
97542	Wheelchair management, each 15 minutes
97545	Work conditioning, initial 2 hours; Work hardening, initial 2 hours
97546	Work conditioning, each additional hour; Work hardening, each additional hour
97750	Physical performance measurement with written report, each 15 minutes; Physical performance test with written report, each 15 minutes

97755	Assistive technology assessment with written report, direct one-on-one contact, each 15 minutes
97760	Initial orthotic management and training with assessment and fitting of lower extremities and trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremities, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremity and trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremity, each 15 minutes; Initial orthotic management and training with assessment and fitting of trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of upper and lower extremities and trunk, each 15 minutes
97761	Initial prosthetic training of lower extremities, each 15 minutes; Initial prosthetic training of lower extremity, each 15 minutes Initial prosthetic training of upper and lower extremities, each 15 minutes; Initial prosthetic training of upper extremities, each 15 minutes; Initial prosthetic training of upper extremity, each 15 minutes
97763	Subsequent orthotic management and training of lower extremities and trunk, each 15 minutes Subsequent orthotic management and training of lower extremity and trunk, each 15 minutes Subsequent orthotic management and training of lower extremity, each 15 minutes Subsequent orthotic management and training of upper and lower extremities and trunk, each 15 minutes Subsequent orthotic management and training of upper extremities and trunk, each 15 minutes Subsequent orthotic management and training of upper extremities, each 15 minutes Subsequent orthotic management and training of upper extremity and trunk, each 15 minutes Subsequent orthotic management and training of upper

	<p>extremity, each 15 minutes</p> <p>Subsequent orthotic management of lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of lower extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic management of lower extremity, each 15 minutes</p> <p>Subsequent orthotic management of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of upper extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of upper extremities, each 15 minutes</p> <p>Subsequent orthotic management of upper extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic management of upper extremity, each 15 minutes</p> <p>Subsequent orthotic training of lower extremity, each 15 minutes</p> <p>Subsequent orthotic training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremities, each 15 minutes</p> <p>Subsequent orthotic training of upper extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremity, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremity, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper extremities and trunk, each 15 minutes</p>
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97799	Unlisted physical medicine/rehabilitation service or procedure
420	Physical Therapy
421	Physical Therapy: Visit Charge
422	Physical Therapy: Hourly Charge
423	Physical Therapy: Group Rate
424	Physical Therapy: Evaluation/Re-evaluation
429	Physical Therapy: Other Physical Therapy
97163	Evaluation of physical therapy, typically 45 minutes
97161	Evaluation of physical therapy, typically 20 minutes
97162	Evaluation of physical therapy, typically 30 minutes
97168	Re-evaluation of occupational therapy established plan of care, typically 30 minutes
97165	Evaluation of occupational therapy, typically 30 minutes
97166	Evaluation of occupational therapy, typically 45 minutes
97167	Evaluation of occupational therapy established plan of care, typically 60 minutes
G0151	Hhcp-serv of pt,ea 15 min

\*Default codes for suggested services

# Advanced Imaging

## Service: Magnetic Resonance Imaging (MRI)

### General Guidelines

- **Units, Frequency, & Duration:** None.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** MRI with or without contrast is recommended for patients with new or increasing symptoms if there is a known or suspected malignancy or infection.<sup>14</sup>
- **Exclusions:** None.

### Medical Necessity Criteria

#### Indications

- **MRI** is considered appropriate if **ANY** of the following are **TRUE**<sup>14</sup>:
- ◆ The patient has **ALL** of the following:
    - The patient has **ANY** positive findings from the [clinical presentation](#) and [typical physical exam findings](#) lists.
    - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of conservative care (conservative care includes a combination of physical therapy, provider-directed home exercise program, and anti-inflammatory/pain management medications or oral steroids).
  - ◆ The patient presents with **ANY** of the following “red flags”:
    - Progressive neurological deficits
    - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
    - Hyperreflexia
    - Hoffman’s sign
    - Positive Babinski or clonus
    - Bowel or bladder incontinence
    - Saddle anesthesia

#### Non-Indications

- **MRI** may not be appropriate if **ANY** of the following is **TRUE**<sup>15</sup>:
- ◆ Non-compatible implanted devices
  - ◆ Metallic intraocular foreign bodies
  - ◆ Claustrophobia

**Site of Service Criteria**

None.

**Procedure Codes (HCPCS/CPT)**

HCPCS Code	Code Description/Definition
72141	MRI of cervical spinal canal and contents, MRI of cervical spinal canal and contents without contrast; MRI of cervical spinal canal and contents without contrast, followed by contrast and further sections
72142	MRI of cervical spinal canal and contents with contrast
72156	MRI of cervical spinal canal and contents without contrast, followed by contrast and further sections

## **Service: Computed Tomography (CT) or Computed Tomography Myelogram (CTM)**

### General Guidelines

- **Units, Frequency, & Duration:** None.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** In the absence of red flag signs/symptoms, advanced imaging may not be required at the initial presentation. CTM is recommended if MRI findings and physical examination findings are discordant. CT or CTM may be appropriate if MRI is contraindicated or MRI results are indeterminate.<sup>14</sup>
- **Exclusions:** None.

### Medical Necessity Criteria

#### Indications

→ **CT/CTM** is considered appropriate if **ANY** of the following is **TRUE**<sup>14</sup>:

- ◆ The patient is being considered for a CTM and **ALL** of the following are **TRUE**:
  - The patient has **ANY** positive findings from the [clinical presentation](#) and [typical physical exam findings](#) lists.
  - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of conservative care (conservative care includes a combination of physical therapy, provider-directed home exercise program, and anti-inflammatory/pain management medications or oral steroids).
  - MRI is contraindicated or indeterminate for reasons such as an artifact from a previous surgery (e.g., anomalies in visual representation that impact imaging quality).
- ◆ The patient is being considered for a CTM and presents with **ANY** of the following red flags:
  - Progressive neurological deficits.
  - Unsteady gait/balance or generalized lower extremity weakness.
  - Hyperreflexia.
  - Positive Babinski or clonus.
  - Bowel or bladder incontinence.
  - Saddle anesthesia.
- ◆ The patient is being considered for a CT and **ANY** of the following is **TRUE**:
  - The patient meets the criteria for MRI or CTM but cannot receive either service due to contraindications.



- MRI or CTM studies are indeterminate.
- ◆ There is a need to obtain additional information that is not provided by an MRI (e.g., details of the bony anatomy or previous surgery).

### Non-Indications

→ **CT/CTM** may not be considered appropriate if **ANY** of the following is **TRUE**<sup>16-17</sup>:

- ◆ If the patient is being considered for a CTM and **ANY** of the following is **TRUE**:
  - In patients with bleeding disorders.
  - In patients with an allergy to iodinated contrast agents.
  - In patients who are pregnant.
- ◆ If the patient is being considered for a CT and **ANY** of the following is **TRUE**:
  - The patient is pregnant.

### Site of Service Criteria

None.

### Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
72125	Computed tomography (CT) of cervical spine without contrast material
72126	Computed tomography (CT) of cervical spine with contrast material
72127	Computed tomography (CT) of cervical spine without contrast material, followed by contrast material and further sections

## Non-Surgical Management

**Service: Epidural Steroid Injections/Selective Nerve Root Blocks**

### General Guidelines

- **Units, Frequency, & Duration:** When the medical necessity criteria are met, a total of 3 epidural steroid injections per episode of pain per region may be performed in 6 months.
- **Criteria for Subsequent Requests:** A second injection may be considered if the patient has had one epidural steroid injection within the last six months. If the patient has had two or more epidural steroid injections in the past six months and the previous injection resulted in a 50% improvement of symptoms for three months, another injection may be considered.<sup>15,18-31</sup>
- **Recommended Clinical Approach:** Perform epidural steroid injections with radiographic image guidance. One interlaminar injection is recommended at a time. Up to two transforaminal injections may be appropriate at a time bilaterally (at the same nerve level) or unilaterally at adjacent levels.
- **Exclusions:** None.

### Medical Necessity Criteria

#### Indications

- **Epidural steroid injections (ESI)** are considered appropriate if **ALL** of the following are **TRUE**<sup>1</sup>:
- ◆ The patient has **ANY** positive findings from the [clinical presentation](#) and [typical physical exam findings](#) lists.
  - ◆ Advanced imaging corresponds to clinical presentation and shows nerve impingement.
  - ◆ The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of conservative care (conservative care includes a combination of physical therapy, provider-directed home exercise program, and anti-inflammatory/pain management medications or oral steroids).
  - ◆ The patient has had fewer than 3 epidural steroid injections per region within the past 6 months with at least 3 weeks in between injections, at which time the patient had 50% improvement of symptoms.

## Non-Indications

→ **Epidural steroid injections** is not considered appropriate if **ANY** of the following is **TRUE**:

- ◆ Central stenosis with myelopathy
- ◆ Hypersensitivity (or allergy) to steroids
- ◆ Local or systemic infection
- ◆ Local malignancy
- ◆ Bleeding diathesis
- ◆ Uncontrolled diabetes mellitus

## Site of Service Criteria

Outpatient

## Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
62320	Insertion of catheter and injection of substance into cervical interlaminar epidural space; Insertion of needle and injection of substance into cervical interlaminar epidural space
62321	Insertion of catheter and injection of substance into cervical interlaminar epidural space using imaging guidance; Insertion of needle and injection of substance into cervical interlaminar epidural space using imaging guidance
64479	Transforaminal injection of anesthetic agent and steroid into single epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of anesthetic agent and steroid into single epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of anesthetic agent and steroid into single epidural space of cervical spine using imaging guidance; Transforaminal injection of anesthetic agent into single epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of anesthetic agent into single epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of anesthetic agent

	into single epidural space of cervical spine using imaging guidance; Transforaminal injection of steroid into single epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of steroid into single epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of steroid into single epidural space of cervical spine using imaging guidance
64480	Transforaminal injection of anesthetic agent and steroid into each additional epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of anesthetic agent and steroid into each additional epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of anesthetic agent and steroid into each additional epidural space of cervical spine using imaging guidance; Transforaminal injection of anesthetic agent into each additional epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of anesthetic agent into each additional epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of anesthetic agent into each additional epidural space of cervical spine using imaging guidance; Transforaminal injection of steroid into each additional epidural space of cervical spine using computed tomography (CT) guidance; Transforaminal injection of steroid into each additional epidural space of cervical spine using fluoroscopic guidance; Transforaminal injection of steroid into each additional epidural space of cervical spine
0228T	Injection(s), anesthetic agent and/or steroid, transforaminal epidural, with ultrasound guidance, cervical or thoracic; single level
0229T	Injection(s), anesthetic agent and/or steroid, transforaminal epidural, with ultrasound guidance, cervical or thoracic; each additional level
64999	Nervous system procedure

## Surgical Management

### ***Service: Anterior Cervical Discectomy with Fusion (ACDF)***

#### General Guidelines

- **Units, Frequency, & Duration:** There is no clearly established consensus or criteria regarding the timing of surgical intervention.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** ACDF surgery provides more rapid relief than non-surgical treatment options, although these changes become less significant two years after treatment.<sup>32</sup> Advanced imaging recommended prior to surgical intervention.
- **Exclusions:** None.

#### Medical Necessity Criteria

#### **Indications**

→ **ACDF** is considered appropriate if **ALL** of the following are **TRUE**:

- ◆ The patient has one or more positive findings from each of these:
  - Clinical [presentation](#)
  - Typical physical [exam findings](#)
  - [Diagnostic findings](#)
- ◆ Advanced imaging demonstrates compressive pathology consistent with the presentation.
- ◆ **ANY** of the following are **TRUE**:
  - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of non-surgical management. Non-surgical management includes a combination of medications, therapy/home exercises, and injections.
  - The patient has severe pain or disability level due to their symptoms that affect their quality of life or limit their daily life (including working and being unable to provide self-care).
  - The patient presents with **ANY** of the following “red flags”:
    - Progressive neurological deficits
    - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
    - Hyperreflexia
    - Hoffman’s sign
    - Positive Babinski or clonus

- Fracture or instability on radiographic films measuring **ANY** of the following:
  - Sagittal plane angulation greater than 11° at a single level, or
  - Greater than 3.5 mm of anterior subluxation in association with radicular/cord dysfunction.

### Non-Indications

→ **ACDF** may not be considered appropriate if **ALL** of the following are **TRUE**<sup>33</sup>:

- ◆ There is ossification of the posterior longitudinal ligament.

### Site of Service Criteria

None.

### Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
22551	Arthrodesis by anterior interbody technique of cervical region below C2, including disc space preparation, with discectomy, osteophylectomy, and decompression of nerve root; Arthrodesis by anterior interbody technique of cervical region below C2, including disc space preparation, with discectomy, osteophylectomy, and decompression of spinal cord; Arthrodesis by anterior interbody technique of cervical region below C2, including disc space preparation, with discectomy, osteophylectomy, and decompression of spinal cord and nerve root
22552	Arthrodesis of each additional interspace of cervical region below C2 by anterior interbody technique, including disc space preparation, with discectomy, osteophylectomy, and decompression of spinal cord and nerve root; Arthrodesis of each additional interspace of cervical region below C2 by anterior interbody technique, with discectomy, osteophylectomy, and decompression of nerve root; Arthrodesis of each additional interspace of cervical region below C2, including disc space preparation by anterior interbody technique, with discectomy, osteophylectomy, and decompression of spinal cord
22554	Arthrodesis by anterior interbody technique of cervical

	region with discectomy; Arthrodesis by anterior interbody technique of cervical region below C2, with minimal discectomy, osteophylectomy, and decompression of nerve root
22845	Anterior Instrumentation 2-3 vertebral segments
22846	Anterior Instrumentation 4-7 vertebral segments
22847	Anterior Instrumentation >/8 vertebral segments
22853	Insertion of biomechanical interbody device with arthrodesis
22854	Insertion of biomechanical device with corpectomy defect w/ arthrodesis
63081	Vertebral corpectomy cervical (vertebral body resection), partial or complete, anterior approach with decompression of spinal cord and/or nerve root(s)
63082	Vertebral corpectomy cervical (vertebral body resection), partial or complete, anterior approach with decompression of spinal cord and/or nerve root(s)

**Service: Posterior Cervical Decompression without Fusion: Laminectomy, Foraminotomy, or Laminoforaminotomy**

### General Guidelines

- **Units, Frequency, & Duration:** There is no clearly established consensus or criteria regarding surgical intervention timing.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** Surgery provides more rapid relief than non-surgical treatment options, although these changes become less significant at two years after treatment. Advanced imaging is recommended prior to surgical intervention.
- **Exclusions:** None.

### Medical Necessity Criteria

#### Indications

- **Posterior cervical decompression without fusion** is considered appropriate if **ALL** of the following are **TRUE**:
- ◆ The patient has one or more positive findings from each of these:
    - Clinical [presentation](#)
    - Typical physical [exam findings](#)
    - [Diagnostic findings](#)
  - ◆ Advanced imaging demonstrates compressive pathology consistent with the presentation.<sup>34</sup>
  - ◆ **ANY** of the following are **TRUE**:
    - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of non-surgical management. Non-surgical management includes a combination of medications, therapy/home exercises, and injections.
    - The patient has severe pain or disability level due to their symptoms that affect their quality of life or limit their daily life (including working and being unable to provide self-care).
    - The patient presents with **ANY** of the following “red flags”:
      - Progressive neurological deficits
      - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
      - Hyperreflexia
      - Hoffman’s sign
      - Positive Babinski or clonus



- Fracture or instability on radiographic films measuring **ANY** of the following:
  - Sagittal plane angulation greater than 11° at a single level
  - Greater than 3.5 mm of anterior subluxation in association with radicular/cord dysfunction.
  - Subluxation at the (C1) level at the atlantodental interval of more than 3 mm in an adult and 5 mm in a child.<sup>35</sup>

### Non-Indications

- **Posterior cervical decompression without fusion** may not be considered appropriate if **ALL** of the following are **TRUE**:
  - ◆ The patient has kyphosis or is at risk for postoperative kyphosis.

### Site of Service Criteria

Inpatient or outpatient

### Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
63001	Laminectomy with exploration and/or decompression of spinal cord and/or cauda equina, without facetectomy, foraminotomy or discectomy (eg, spinal stenosis), 1 or 2 vertebral segments
63015	Laminectomy with exploration and/or decompression of spinal cord and/or cauda equina, without facetectomy, foraminotomy or discectomy (eg, spinal stenosis), more than 2 vertebral segments
63020	Hemilaminectomy and foraminotomy of single interspace of cervical spine with decompression of nerve root; Hemilaminectomy and foraminotomy of single interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root; Hemilaminectomy and partial facetectomy of single interspace of cervical spine with decompression of nerve root; Hemilaminectomy and partial facetectomy of single interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root; Hemilaminectomy of single interspace of cervical spine with decompression of nerve root; Hemilaminectomy of single interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve

	root; Hemilaminectomy, partial facetectomy, and foraminotomy of single interspace of cervical spine with decompression of nerve root
63035	Hemilaminectomy and foraminotomy of each additional interspace of cervical spine with decompression of nerve root; Hemilaminectomy and foraminotomy of each additional interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy of each additional interspace of cervical spine with decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy of each additional interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root
63040	Hemilaminectomy and foraminotomy for re-exploration of single interspace of cervical spine with decompression of nerve root; Hemilaminectomy and foraminotomy for re-exploration of single interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy for re-exploration of single interspace of cervical spine with decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy for re-exploration of single interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root
63043	Hemilaminectomy and foraminotomy for re-exploration of each additional interspace of cervical spine with decompression of nerve root; Hemilaminectomy and foraminotomy for re-exploration of each additional interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy for re-exploration of each additional interspace of cervical spine with decompression of nerve root; Hemilaminectomy, partial facetectomy, and foraminotomy for re-exploration of each additional interspace of cervical spine with excision of herniated intervertebral disc and decompression of nerve root
63045	Bilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of

	<p>nerve root; Bilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of spinal cord; Bilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of spinal cord and nerve root; Unilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of nerve root; Unilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of spinal cord; Unilateral laminectomy, facetectomy, and foraminotomy of single cervical vertebral segment with decompression of spinal cord and nerve root</p>
63048	<p>Bilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of nerve root; Bilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of spinal cord; Bilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of spinal cord and nerve root; Unilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of nerve root; Unilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of spinal cord; Unilateral laminectomy, facetectomy, and foraminotomy of each additional cervical vertebral segment with decompression of spinal cord and nerve root</p>
63050	<p>Laminoplasty, cervical, with decompression of the spinal cord, two or more vertebral segments</p>
63051	<p>Laminoplasty with reconstruction of the posterior bony elements (including the application of bridging bone graft and non-segmental fixation devices (e.g., wire, suture, mini-plates), when performed.</p>

## **Service: Posterior Decompression with Fusion**

### **General Guidelines**

- **Units, Frequency, & Duration:** There is no clearly established consensus or criteria regarding the timing of surgical intervention.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** Surgery provides more rapid relief than non-surgical treatment options. Advanced imaging is recommended prior to surgical intervention.<sup>35</sup> For single-level cervical radiculopathy, anterior and posterior surgery have similar outcomes. Either approach may be considered, although anterior is suggested.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

- **Posterior cervical decompression with fusion** is considered appropriate if **ALL** of the following are **TRUE**:
- ◆ The patient has one or more positive findings from each of these:
    - Clinical [presentation](#)
    - Typical physical [exam findings](#)
    - [Diagnostic findings](#)
  - ◆ Advanced imaging demonstrates compressive pathology consistent with the presentation.<sup>35</sup>
  - ◆ **ANY** of the following are **TRUE**:
    - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of non-surgical management. Non-surgical management includes a combination of medications, therapy/home exercises, and injections.
    - The patient has severe pain or disability level due to their symptoms that affect their quality of life or limit their daily life (including working and being unable to provide self-care).
    - The patient presents with **ANY** of the following “red flags”:
      - Progressive neurological deficits
      - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
      - Hyperreflexia
      - Hoffman’s sign
      - Positive Babinski or clonus

- Fracture or instability on radiographic films measuring **ANY** of the following:
  - Sagittal plane angulation greater than 11° at a single level
  - Greater than 3.5 mm of anterior subluxation in association with radicular/cord dysfunction.
  - Subluxation at the (C1) level at the atlantodental interval of more than 3 mm in an adult and 5 mm in a child.<sup>35</sup>

### Non-Indications

None.

### Site of Service Criteria

Inpatient or outpatient

### Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
22600	Arthrodesis of cervical vertebral segment below C2 by posterior technique; Arthrodesis of cervical vertebral segment below C2 by posterolateral technique
22614	Arthrodesis of each additional vertebral segment of a single level by posterior technique; Arthrodesis of each additional vertebral segment of a single level by posterolateral technique
22840	Posterior non segmental instrumentation
22842	Posterior segmental instrumentation 3-6 vertebral segments
22843	Posterior segmental instrumentation 7-12 vertebral segments
22844	Posterior segmental instrumentation >/13 vertebral segments
64708	Release of nerve of arm or leg, open procedure
64714	Release of nerve of upper leg, open procedure

## **Service: Cervical Disc Replacement/Arthroplasty**

### **General Guidelines**

- **Units, Frequency, & Duration:** No clearly established consensus or criteria regarding the timing of surgical intervention.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** Surgery provides more rapid relief than non-surgical treatment options, although these changes become less significant at two years after treatment. Advanced imaging recommended prior to surgical intervention.<sup>32</sup> For single-level cervical radiculopathy, outcomes of an anterior cervical discectomy with fusion (ACDF) and a cervical disc replacement are similar; either may be considered.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

- **Cervical disc replacement** is considered appropriate if **ALL** of the following are **TRUE**:
- ◆ The patient has one or more positive findings from each of these:
    - Clinical [presentation](#)
    - Typical physical [exam findings](#)
    - [Diagnostic findings](#)
  - ◆ Advanced imaging demonstrates compressive pathology consistent with the presentation.<sup>36</sup>
  - ◆ **ANY** of the following are **TRUE**:
    - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of non-surgical management. Non-surgical management includes a combination of medications, therapy/home exercises, and injections.
    - The patient has severe pain or disability level due to their symptoms that affect their quality of life or limit their daily life (including working and being unable to provide self-care).
    - The patient presents with **ANY** of the following “red flags”:
      - Progressive neurological deficits
      - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
      - Hyperreflexia
      - Hoffman’s sign

- Positive Babinski or clonus

**Non-Indications**

→ **Cervical disc replacement/arthroplasty** is not considered appropriate if **ANY** of the following is **TRUE**<sup>36</sup>:

- ◆ There are three or more symptomatic levels.
- ◆ Instability as indicated by **ANY** of the following:
  - Translation is greater than 3 mm difference between lateral flexion-extension views at the symptomatic levels
  - 11° of angular difference between lateral flexion-extension views at the symptomatic levels
- ◆ Severe spondylosis as indicated by **ANY** of the following:
  - More than 50% disc height loss compared to minimally or non-degenerated levels
  - Bridging osteophytes
  - Absence of motion on lateral flexion-extension views at the symptomatic site
- ◆ Active infection
- ◆ Known malignancy
- ◆ Allergy to implant materials
- ◆ Metabolic bone disease (e.g., osteoporosis, renal osteodystrophy)
- ◆ Severe facet arthropathy
- ◆ Ankylosing spondylitis
- ◆ Rheumatoid arthritis
- ◆ Previous fracture with anatomical deformity
- ◆ Ossification of the posterior longitudinal ligament (OPLL)
- ◆ Active cervical spine malignancy

**Site of Service Criteria**

Inpatient or outpatient

**Procedure Codes (HCPCS/CPT)**

HCPCS Code	Code Description/Definition
22856	Total disc arthroplasty of single cervical vertebral interspace by anterior approach with discectomy and end plate preparation, including osteophylectomy for nerve root decompression and microdissection; Total disc arthroplasty of single cervical vertebral interspace by anterior approach with discectomy and end plate preparation, including osteophylectomy for spinal cord decompression and microdissection; Total disc arthroplasty of single cervical vertebral interspace by anterior approach

22858	Total disc arthroplasty of second level cervical vertebra by anterior approach with discectomy with end plate preparation
22861	Revision of total disc arthroplasty of single cervical vertebral interspace by anterior approach with replacement of artificial disc
22864	Removal of artificial disc from total disc arthroplasty of single cervical vertebral interspace by anterior approach
0098T	Revision including replacement of total disc arthroplasty (artificial disc), anterior approach, each additional interspace, cervical (List separately in addition to code for primary procedure)
0095T	Removal of total disc arthroplasty (artificial disc), anterior approach, each additional interspace, cervical (List separately in addition to code for primary procedure)



## **Service: Anterior Cervical Discectomy (ACD)**

### **General Guidelines**

- **Units, Frequency, & Duration:** There is no clearly established consensus or criteria regarding the timing of surgical intervention.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach<sup>36</sup>:** Single-level ACD may be medically appropriate. Surgery provides more rapid relief than non-surgical treatment options, although these changes become less significant two years after treatment. Advanced imaging is recommended prior to surgical intervention. ACD may be considered although the addition of an interbody graft for fusion is suggested; ACDF with a plate is recommended.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

→ **Anterior cervical discectomy** is considered appropriate if **ALL** of the following are **TRUE**:

- ◆ The patient has one or more positive findings from each of these:
  - Clinical [presentation](#)
  - Typical physical [exam findings](#)
  - [Diagnostic findings](#)
- ◆ Advanced imaging demonstrates compressive pathology consistent with the presentation.<sup>36</sup>
- ◆ **ANY** of the following are **TRUE**:
  - The patient fails to show significant improvement in pain or disability level due to symptoms, despite more than 6 weeks of non-surgical management. Non-surgical management includes a combination of medications, therapy/home exercises, and injections.
  - The patient has severe pain or disability level due to their symptoms that affect their quality of life or limit their daily life (including working and being unable to provide self-care).
  - The patient presents with **ANY** of the following “red flags”:
    - Progressive neurological deficits
    - Unsteady gait related to myelopathy/balance or generalized lower extremity weakness
    - Hyperreflexia
    - Hoffman’s sign

- Positive Babinski or clonus

**Non-Indications**

→ **Anterior cervical discectomy** is not considered appropriate if **ALL** of the following are **TRUE**:

- ◆ Instability
- ◆ Kyphosis

**Site of Service Criteria**

None.

**Procedure Codes (HCPCS/CPT)**

HCPCS Code	Code Description/Definition
63075	Discectomy of single interspace of cervical spine by anterior approach with decompression of nerve root; Discectomy of single interspace of cervical spine by anterior approach with decompression of spinal cord; Discectomy of single interspace of cervical spine by anterior approach with decompression of spinal cord and nerve root; Discectomy of single interspace of cervical spine by anterior approach with osteophyctomy and decompression of nerve root; Discectomy of single interspace of cervical spine by anterior approach with osteophyctomy and decompression of spinal cord; Discectomy of single interspace of cervical spine by anterior approach with osteophyctomy and decompression of spinal cord and nerve root
63076	Discectomy, anterior, cervical with decompression of spinal cord and/or nerve root(s), including osteophyctomy
S2350	Diskectomy, anterior, with decompression of spinal cord and/or nerve root(s), including osteophyctomy; lumbar, single interspace
S2351	Diskectomy, anterior, with decompression of spinal cord and/or nerve root(s), including osteophyctomy; lumbar, each additional interspace (list separately in addition to code for primary procedure)

# Surgical Risk Factors

## Patient Medical Risk Stratification

Patient Risk Score	Patient Characteristic	Min Range	Max Range	Guidance
1- Very Low Risk	No known medical problems			
2- Low Risk	Hypertension		180/110 mm Hg	
2- Low Risk	Asthma	peak flow >80% of predicted or personal best value		
2- Low Risk	Prior history of alcohol abuse			Screen for liver disease and malnutrition
2- Low Risk	Prior history of tobacco use			
3- Intermediate Risk	Asthma	peak flow <80% of predicted or personal best value		
3- Intermediate Risk	Active alcohol abuse			
3- Intermediate Risk	Age	65	75	
3- Intermediate Risk	History of treated, stable coronary artery disease (CAD)			
3- Intermediate Risk	Stable atrial fibrillation			
3- Intermediate Risk	Diabetes mellitus	HbA1C >7%		
3- Intermediate Risk	Morbid obesity	BMI 30	BMI 40	
3- Intermediate Risk	Anemia	hemoglobin <11 (females), <12 (males)		Workup to identify etiology
3- Intermediate Risk	HIV	CD4 <200 cells/mm <sup>3</sup>		Get clearance from HIV specialist

<b>3- Intermediate Risk</b>	Rheumatologic disease			Preoperative consultation with rheumatologist re: perioperative medication management
<b>3- Intermediate Risk</b>	Peripheral vascular disease or history of peripheral vascular bypass	ankle-brachial pressure index (ABPI) <0.9		Preoperative consultation with vascular surgeon
<b>3- Intermediate Risk</b>	History of venous thromboembolism (VTE)			
<b>3- Intermediate Risk</b>	Well-controlled obstructive sleep apnea			
<b>3- Intermediate Risk</b>	Malnutrition	transferrin <200 mg/dL albumin <3.5 g/dL prealbumin <22.5 mg/dL total lymphocyte count <1200-1500 cell/mm <sup>3</sup> BMI <18		Preoperative consultation with nutritionist
<b>3- Intermediate Risk</b>	Active tobacco Use			Enroll patient in smoking cessation program
<b>4- High Risk</b>	Diabetes mellitus with complications	HbA1c >8%		
<b>4- High Risk</b>	Age	76	85	
<b>4- High Risk</b>	Oxygen dependent pulmonary disease			
<b>4- High Risk</b>	Sickle cell anemia			
<b>4- High Risk</b>	Obesity	BMI 40		
<b>4- High Risk</b>	Cirrhosis, history of hepatic decompensation or variceal bleeding			
<b>4- High Risk</b>	Impaired cognition; dementia			

<b>4- High Risk</b>	Compensated CHF			
<b>4- High Risk</b>	Cerebrovascular disease			
<b>4- High Risk</b>	Uncontrolled or suspected obstructive sleep apnea (OSA)			
<b>4- High Risk</b>	Renal insufficiency	serum creatinine >1.5 mg/dL or creatinine clearance <100 mL/min		
<b>4- High Risk</b>	Opioid dependence			
<b>4- High Risk</b>	End Stage Liver Disease			
<b>4- High Risk</b>	Uncontrolled Seizure Disorder			
<b>4- High Risk</b>	History of Malignant Hyperthermia			
<b>5- Very High Risk</b>	Cardiovascular: unstable angina, recent myocardial infarction (60 days), uncontrolled atrial fibrillation or other high-grade abnormal rhythm, severe valvular disease, decompensated heart failure			
<b>5- Very High Risk</b>	Primary pulmonary hypertension			Preoperative consultation with pulmonologist warranted
<b>5- Very High Risk</b>	Cirrhosis or severe liver disease, history of hepatic decompensation or variceal bleeding			
<b>5- Very High Risk</b>	Severe frailty, dependence for ADLs, or history of 3 or more falls in last 6 mos			
<b>5- Very High Risk</b>	Obesity		BMI >50	
<b>5- Very High Risk</b>	Age		>85	

<b>5- Very High Risk</b>	History of VTE with CI to anticoagulation, failure of anticoagulation, cessation of anticoagulation therapy secondary to bleeding			Preoperative consultation with hematologist or internist
<b>5- Very High Risk</b>	Renal failure requiring dialysis			
<b>5- Very High Risk</b>	Immunosuppression			
<b>5- Very High Risk</b>	Chronic Pain			

## Postoperative Care

**Service: Physical Therapy**

### General Guidelines

- **Units, Frequency, & Duration**<sup>13</sup>: Physical therapy may be prescribed for 4–6 weeks after cervical fusion surgery. Education and activity supervision may begin immediately. Formal spine rehabilitation may begin later to allow fusion healing (approximately 8–12 weeks). Rehabilitation may be appropriate up to 6 months post-fusion.
- **Criteria for Subsequent Requests**: The patient should be progressing towards goals in the physical therapy plan without fully obtaining all goals.
- **Recommended Clinical Approach**: None.
- **Exclusions**: None.

### Medical Necessity Criteria

#### Indications

- **Physical therapy** is considered appropriate if **ANY** of the following is **TRUE**<sup>13</sup>:
- ◆ The patient underwent cervical spine surgery.

#### Non-Indications

None.

### Site of Service Criteria

Outpatient

### Procedure Codes (HCPCS/CPT)

HCPCS Code	Code Description/Definition
97010	Application of hot or cold packs
97012	Application of mechanical traction
97014	Application of electrical stimulation
97016	Application of vasopneumatic devices
97018	Application of paraffin bath

97022	Application of whirlpool
97024	Application of diathermy
97026	Application of infrared modality
97028	Application of ultraviolet modality
97032	Application of manual electrical stimulation
97033	Application of iontophoresis
97034	Application of contrast baths
97035	Application of ultrasound modality
97036	Application of Hubbard tank
97039	Modality service
97110*	Therapeutic exercises to develop strength and endurance, range of motion and flexibility
97112	Neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and proprioception for sitting and standing activities
97113	Aquatic therapy with therapeutic exercises
97116	Gait training including stair climbing
97124	Massage including effleurage and petrissage; Massage including effleurage and tapotement; Massage including effleurage, petrissage and tapotement; Massage including petrissage and tapotement
97139	Therapeutic procedure
97140	Manual therapy techniques
97150	Group therapeutic procedures
97164	Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient 20 minutes; Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient and family 20 minutes; Physical therapy re-evaluation of established plan of care, high complexity, typical time with patient's family 20



	minutes
97530	Direct therapeutic activities with use of dynamic activities to improve functional performance, each 15 minutes
97535	Home management training, direct one-on-one contact, each 15 minutes; Self-care management training, direct one-on-one contact, each 15 minutes
97537	Community reintegration training, direct one-on-one contact, each 15 minutes; Work reintegration training, direct one-on-one contact, each 15 minutes
97542	Wheelchair management, each 15 minutes
97545	Work conditioning, initial 2 hours; Work hardening, initial 2 hours
97546	Work conditioning, each additional hour; Work hardening, each additional hour
97750	Physical performance measurement with written report, each 15 minutes; Physical performance test with written report, each 15 minutes
97755	Assistive technology assessment with written report, direct one-on-one contact, each 15 minutes
97760	Initial orthotic management and training with assessment and fitting of lower extremities and trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremities, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremity and trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of lower extremity, each 15 minutes; Initial orthotic management and training with assessment and fitting of trunk, each 15 minutes; Initial orthotic management and training with assessment and fitting of upper and lower extremities and trunk, each 15 minutes

97761	<p>Initial prosthetic training of lower extremities, each 15 minutes;</p> <p>Initial prosthetic training of lower extremity, each 15 minutes</p> <p>Initial prosthetic training of upper and lower extremities, each 15 minutes;</p> <p>Initial prosthetic training of upper extremities, each 15 minutes;</p> <p>Initial prosthetic training of upper extremity, each 15 minutes</p>
97763	<p>Subsequent orthotic management and training of lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management and training of lower extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic management and training of lower extremity, each 15 minutes</p> <p>Subsequent orthotic management and training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management and training of upper extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management and training of upper extremities, each 15 minutes</p> <p>Subsequent orthotic management and training of upper extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic management and training of upper extremity, each 15 minutes</p> <p>Subsequent orthotic management of lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of lower extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic management of lower extremity, each 15 minutes</p> <p>Subsequent orthotic management of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of upper extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic management of upper extremities, each 15 minutes</p> <p>Subsequent orthotic management of upper extremity and trunk, each 15 minutes</p>

	<p>Subsequent orthotic management of upper extremity, each 15 minutes</p> <p>Subsequent orthotic training of lower extremity, each 15 minutes</p> <p>Subsequent orthotic training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremities, each 15 minutes</p> <p>Subsequent orthotic training of upper extremity and trunk, each 15 minutes</p> <p>Subsequent orthotic training of upper extremity, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremity, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper extremities, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of upper extremity, each 15 minutes</p> <p>Subsequent prosthetic management of lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management of lower extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management of lower extremity, each 15 minutes</p> <p>Subsequent prosthetic management of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic management of upper extremities</p>
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	<p>and trunk, each 15 minutes</p> <p>Subsequent prosthetic management of upper extremities, each 15 minutes</p> <p>Subsequent prosthetic management of upper extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management of upper extremity, each 15 minutes</p> <p>Subsequent prosthetic training of lower extremity, each 15 minutes</p> <p>Subsequent prosthetic training of upper and lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic training of upper extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic training of upper extremities, each 15 minutes</p> <p>Subsequent prosthetic training of upper extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic training of upper extremity, each 15 minutes</p> <p>Subsequent orthotic management and training of lower extremities, each 15 minutes</p> <p>Subsequent orthotic management of lower extremities, each 15 minutes</p> <p>Subsequent orthotic training of lower extremities and trunk, each 15 minutes</p> <p>Subsequent orthotic training of lower extremities, each 15 minutes</p> <p>Subsequent orthotic training of lower extremity and trunk, each 15 minutes</p> <p>Subsequent prosthetic management and training of lower extremities, each 15 minutes</p> <p>Subsequent prosthetic management of lower extremities, each 15 minutes</p> <p>Subsequent prosthetic training of lower extremities and trunk, each 15 minutes</p> <p>Subsequent prosthetic training of lower extremities, each 15 minutes</p> <p>Subsequent prosthetic training of lower extremity and trunk, each 15 minutes</p>
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97799	Unlisted physical medicine/rehabilitation service or procedure
420	Physical Therapy
421	Physical Therapy: Visit Charge
422	Physical Therapy: Hourly Charge
423	Physical Therapy: Group Rate
424	Physical Therapy: Evaluation/Re-evaluation
429	Physical Therapy: Other Physical Therapy
97163	Evaluation of physical therapy, typically 45 minutes
97161	Evaluation of physical therapy, typically 20 minutes
97162	Evaluation of physical therapy, typically 30 minutes
97168	Re-evaluation of occupational therapy established plan of care, typically 30 minutes
97165	Evaluation of occupational therapy, typically 30 minutes
97166	Evaluation of occupational therapy, typically 45 minutes
97167	Evaluation of occupational therapy established plan of care, typically 60 minutes
G0151	Hhcp-serv of pt,ea 15 min

\*Default codes for suggested services

## **Service: Home Health Care**

### **General Guidelines**

- **Units, Frequency, & Duration:** None.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** Home health care may be recommended for postoperative care if outpatient treatment is not indicated based on hospital case management recommendations.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

- **Home health care** may be appropriate if **ALL** of the following are **TRUE**:
- ◆ The patient lives with those who are unable to care for the patient postoperatively.
  - ◆ The patient underwent lumbar fusion.

#### **Non-Indications**

None.

### **Site of Service Criteria**

Home

### **Procedure Codes (HCPCS/CPT)**

<b>HCPCS Code</b>	<b>Code Description/Definition</b>
99509	Home visit for assistance with activities of daily living and personal care
99600	Unlisted home visit procedure; Unlisted home visit service
99334	Level 1 rest home visit for evaluation and management of established patient with minor and/or self-limited problem, including problem-focused interval history and physical examination, and straightforward medical decision-making, typical time with patient, family, and/or caregiver 15 minutes
G0129	Partial hosp prog service
G0283	Elec stim other than wound

## **Service: Inpatient Rehabilitation**

### **General Guidelines**

- **Units, Frequency, & Duration:** Postoperative rehabilitation is recommended to begin as soon as possible for all patients. No guidelines are available for the specific duration, timing, or frequency. Inpatient rehabilitation is rarely required following routine fusion.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** There are no firmly established criteria for discharge appropriateness. Discharge depends upon medical stability, pain control, home situation, and if PT/OT goals were met. Some patients may require non-home discharge after surgery depending upon their age, comorbidities, and functional needs. Rehabilitation guidelines are not firmly established. There are no data available on outcomes nor firm recommendations on appropriate discharge destination. Post-acute care may be warranted. Cognitive behavioral therapy (CBT) may be included in postoperative rehabilitation programs.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

→ **Inpatient rehabilitation** is considered appropriate if **ALL** of the following is **TRUE**:

- ◆ **ANY** of the following are **TRUE**:
  - Neurologic deficit occurs postoperatively.
  - Postoperative complications.
  - Multiple medical comorbidities.
  - The patient requires maximum assistance for mobility.
  - The patient does not have others to take care of them at home.
- ◆ The patient underwent cervical spine surgery.

#### **Non-Indications**

None.

### **Site of Service Criteria**

Inpatient

**Procedure Codes (HCPCS/CPT)**

HCPCS Code	Code Description/Definition
97799	Physical medicine service



## **Service: Skilled Nursing Facility (SNF)**

### **General Guidelines**

- **Units, Frequency, & Duration:** None.
- **Criteria for Subsequent Requests:** None.
- **Recommended Clinical Approach:** May be indicated for postoperative care in cases where the surgery occurred at an inpatient hospital, and outpatient physical therapy or home health care are not indicated.
- **Exclusions:** None.

### **Medical Necessity Criteria**

#### **Indications**

→ **Skilled nursing facilities (SNF)** are considered appropriate if **ALL** of the following is **TRUE**:

◆ **ANY** of the following are **TRUE**:

- Neurologic deficit occurs postoperatively.
- Postoperative complications.
- Multiple medical comorbidities.
- The patient requires maximum assistance for mobility.
- The patient does not have others to take care of them at home.

◆ The patient underwent cervical spine surgery.

#### **Non-Indications**

None.

### **Site of Service Criteria**

Nursing facility

### **Procedure Codes (HCPCS/CPT)**

<b>HCPCS Code</b>	<b>Code Description/Definition</b>
99304	Level 1 initial nursing facility care for evaluation and management of patient with problem of low severity, including comprehensive history and physical examination, and medical decision-making of low complexity, typical time 25 minutes; Level 1 initial nursing facility care for evaluation and management of patient with problem of low severity, including detailed history and physical examination, and straightforward medical decision-making, typical time 25 minutes

99305	Level 2 initial nursing facility care for evaluation and management of patient with problem of moderate severity, including comprehensive history and physical examination, and medical decision-making of moderate complexity, typical time 35 minutes
99306	Level 3 initial nursing facility care for evaluation and management of patient with problem of high severity, including comprehensive history and physical examination, and medical decision-making of high complexity typical time 45 minutes
99307	Level 1 subsequent nursing facility care for evaluation and management of patient, including problem-focused interval history and physical examination, and straightforward medical decision-making, typical time 10 minutes; Level 1 subsequent nursing facility care for evaluation and management of patient, including problem-focused interval history and physical examination, typical time 10 minutes; Level 1 subsequent nursing facility care for evaluation and management of patient, including problem-focused interval history and straightforward medical decision-making, typical time 10 minutes; Level 1 subsequent nursing facility care for evaluation and management of patient, including problem-focused physical examination and straightforward medical decision-making, typical time 10 minutes
99308	Level 2 subsequent nursing facility care for evaluation and management of patient, including expanded problem-focused interval history and medical decision-making of low complexity, typical time 15 minutes; Level 2 subsequent nursing facility care for evaluation and management of patient, including expanded problem-focused interval history and physical examination, and medical decision-making of low complexity, typical time 15 minutes; Level 2 subsequent nursing facility care for evaluation and management of patient, including expanded problem-focused interval history and physical examination, typical time 15 minutes; Level 2 subsequent nursing facility care for evaluation and management of patient, including expanded problem-focused physical examination and medical decision-making of low complexity, typical time 15 minutes

99309	Level 3 subsequent nursing facility care for evaluation and management of patient, including detailed interval history and medical decision-making of moderate complexity, typical time 25 minutes; Level 3 subsequent nursing facility care for evaluation and management of patient, including detailed interval history and physical examination, and medical decision-making of moderate complexity. typical time 25 minutes; Level 3 subsequent nursing facility care for evaluation and management of patient, including detailed interval history and physical examination, typical time 25 minutes; Level 3 subsequent nursing facility care for evaluation and management of patient, including detailed physical examination and medical decision-making of moderate complexity, typical time 25 minutes
99310	Level 4 subsequent nursing facility care for evaluation and management of patient, including comprehensive interval history and medical decision-making of high complexity, typical time 35 minutes; Level 4 subsequent nursing facility care for evaluation and management of patient, including comprehensive interval history and physical examination, and medical decision-making of high complexity, typical time 35 minutes; Level 4 subsequent nursing facility care for evaluation and management of patient, including comprehensive interval history and physical examination, typical time 35 minutes; Level 4 subsequent nursing facility care for evaluation and management of patient, including comprehensive physical examination and medical decision-making of high complexity, typical time 35 minutes
99315	Nursing facility discharge day management, 30 minutes or less
99316	Nursing facility day management, more than 30 minutes
G0128	Corf skilled nursing service

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# Clinical Guideline Revision History/Information

Original Date: June 19, 2020	
Review History	
November 13, 2020 (V.1-V.3)	<b>Approving Physician:</b> Dr. Brian Covino
November 19, 2021 (V.3)	<b>Reviewing Physician:</b> Dr. Vijay Yanamadala <b>Approving Physician:</b> Dr. Brian Covino
December 29, 2022 (V.4)	<b>Reviewing Physician:</b> Dr. Vijay Yanamadala <b>Approving Physician:</b> Dr. Traci Granston